

Mann v. A.O. Smith Corp. (In re Asbestos Prods. Liab. Litig. No.)

United States District Court for the Eastern District of Pennsylvania

May 14, 2021, Decided; May 14, 2021, Filed

CONSOLIDATED UNDER MDL DOCKET NO. 01-875; CIVIL ACTION NO. 17-4428

Reporter

2021 U.S. Dist. LEXIS 92169 *

IN RE: **ASBESTOS** PRODUCTS LIABILITY LITIGATION (No. VI) BARBARA MANN, Personal Representative of the Estate of Richard Nybeck, Plaintiff, v. A.O. SMITH CORP., et al., Defendants.

Core Terms

asbestos, exposure, products, summary judgment, genuine, summary judgment motion, magazine, exposed, lung cancer, phenolic, starters, hearsay, substantial factor, **asbestos**-ridden, non-moving, documents, proximity, boards

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Judges: Hon. Mitchell S. Goldberg, J.

Opinion by: Mitchell S. Goldberg

Opinion

MEMORANDUM OPINION

Richard Nybeck originally filed this personal injury action against multiple defendants, asserting claims for alleged harmful, occupational exposure to **asbestos** and his development of lung cancer. After Nybeck's unfortunate passing in June 2020, Barbara Mann, as the personal representative of Nybeck's estate, ("Plaintiff") was substituted as Plaintiff. The allegations against Defendant, Rockwell Automation, Inc., as successor by merger to The Allen-Bradley Co. (hereinafter, "Allen Bradley") stem from Nybeck's employment [*5] as an HVAC mechanic at the Philadelphia International Airport.

Presently before me is Allen Bradley's Motion for Summary Judgment on all claims.¹ For the reasons set forth below, I will grant the Motion.

I. STATEMENT OF FACTS

Unless otherwise indicated, the facts presented below are undisputed.²

Between 1993 and 2003, Nybeck worked as an HVAC mechanic at the Philadelphia International Airport. (Def.'s SOF ¶ 3, ECF No. 295.) In this position, he worked with Allen Bradley electrical motors and starters, which were mounted to phenolic board. (*Id.* ¶¶ 5, 6.) Nybeck did not know who manufactured or supplied the phenolic boards. (*Id.* ¶ 7.) Nybeck worked with Allen Bradley's contractors a couple of times each week and starters at least once a week. (Pl.'s Mem. of Law at 3, ECF No. 314.)

He did not associate **asbestos** with the contractors or

¹ Plaintiff's claims against Allen Bradley are for negligence (Count One), strict liability (Count Two), and breach of warranty (Count Four).

² Allen Bradley's Statement of Facts (ECF No. 295) will be cited as "Def.'s SOF." While Plaintiff responded to Allen Bradley's SOF, Plaintiff provided no cites to the record. (ECF No. 314 at 1-2.) Since Plaintiff did not file a supplemental statement of facts, I will only consider the facts set forth in her brief that have proper citations to the record. See *Fed. R. Civ. P. 56(c)(1)(A)*; *Malik v. Hannah*, 799 F. Supp. 2d 355, 358 (D.N.J. 2011) (A party's expression of general disagreement "without identifying the facts disputed and without [citing] to evidence in the record that raises an issue of fact regarding that point, is insufficient to survive summary judgment.").

starters and did not believe that Allen Bradley's contractors or starters contained **asbestos**. (Def.'s SOF ¶ 9.) Rather, at his deposition, Nybeck testified that based on an article that he read in an unidentified HVAC trade magazine, he believed that the phenolic boards may have contained **asbestos**. (*Id.* ¶ 11.) Nybeck could not recall the name of the magazine [*6] or article or when he read it. (*Id.*)

Nybeck was diagnosed with lung cancer in November 2016 and passed away on June 17, 2020. (ECF No. 331.)

II. STANDARD OF REVIEW

Pursuant to *Federal Rule of Civil Procedure 56(a)*, summary judgment is proper "if the movant shows that there is no genuine dispute as to any material fact and the movant is entitled to judgment as a matter of law." A dispute is "genuine" if there is a sufficient evidentiary basis on which a reasonable factfinder could return a verdict for the non-moving party, and a factual dispute is "material" if it might affect the outcome of the case under governing law. *Kaucher v. Cnty. of Bucks*, 455 F.3d 418, 423 (3d Cir. 2006) (citing *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242, 248, 106 S. Ct. 2505, 91 L. Ed. 2d 202 (1986)). The court must view the evidence in the light most favorable to the non-moving party. *Galena v. Leone*, 638 F.3d 186, 196 (3d Cir. 2011). However, "unsupported assertions, conclusory allegations or mere suspicions" are insufficient to overcome a motion for summary judgment. *Schaar v. Lehigh Valley Health Servs., Inc.*, 732 F. Supp. 2d 490, 493 (E.D. Pa. 2010) (citing *Williams v. Borough of W. Chester, Pa.*, 891 F.2d 458, 461 (3d Cir. 1989)).

The movant "always bears the initial responsibility of informing the district court of the basis for its motion, and identifying those portions of [the record] which it believes demonstrate the absence of a genuine issue of material fact." *Celotex Corp. v. Catrett*, 477 U.S. 317, 323, 106 S. Ct. 2548, 91 L. Ed. 2d 265 (1986). Where the non-moving party bears the burden of proof on a particular issue at trial, the moving party's initial *Celotex* burden [*7] can be met by showing that the non-moving party has "fail[ed] to make a showing sufficient to establish the existence of an element essential to that party's case." *Id.* at 322.

After the moving party has met its initial burden, summary judgment is appropriate if the non-moving party fails to rebut the moving party's claim by "citing to

particular parts of materials in the record, including depositions, documents, electronically stored information, affidavits or declarations, stipulations . . . , admissions, interrogatory answers, or other materials" that show a genuine issue of material fact or by "showing that the materials cited do not establish the absence or presence of a genuine dispute." *Fed. R. Civ. P. 56(c)(1)(A)*.

III. DISCUSSION

Allen Bradley seeks summary judgment on Plaintiff's claims of negligence, strict liability, and breach of warranty. Allen Bradley contends that Plaintiff's evidence fails to establish that Nybeck was exposed to Allen Bradley's products allegedly containing **asbestos**, let alone with the necessary regularity, frequency, or proximity to create an issue of fact. Plaintiff responds that it sufficiently proffered product identification and causation evidence to survive summary judgment.

The parties [*8] agree that Pennsylvania law applies. "In the simplest terms, an **asbestos** plaintiff must establish that use of a defendant's product exposed the plaintiff to airborne **asbestos** fibers and that this exposure occurred with sufficient frequency, regularity, and proximity such that a fact-finder may infer that the plaintiff's exposure was a substantial factor in causing h[im] harm." *Brandt v. Bon-Ton Stores Inc.*, 227 A.3d 433, 2020 WL 865276, at *4 (Pa. Super. 2020) (citing *Rost v. Ford Motor Co.*, 637 Pa. 625, 151 A.3d 1032, 1052-53 (Pa. 2016); *Gregg v. V-J Auto Parts, Co.*, 596 Pa. 274, 943 A.2d 216, 225-26 (Pa. 2007)). "[A] plaintiff must present evidence to show that he inhaled **asbestos** fibers shed by the specific manufacturer's product." *Krauss v. Trane U.S. Inc.*, 2014 PA Super 241, 104 A.3d 556, 563 (Pa. Super. 2014).

The mere fact that a particular **asbestos** product came into a facility does not establish that an individual "ever breathed these specific **asbestos** products or that he worked where these **asbestos** products were delivered." *Eckenrod v. GAF Corp.*, 375 Pa. Super. 187, 544 A.2d 50, 53 (Pa. Super. 1988). An "'every exposure' to **asbestos**, generalized opinion does 'not suffice to create a jury question in a case where exposure to the defendant's product is *de minimis*. . . ." *Rost*, 151 A.3d at 1043 (citations omitted). Rather, "to permit trial courts to make a reasoned determination at the summary judgment stage as to whether the plaintiff has proffered sufficient evidence to permit a jury to make the necessary inference of a sufficient causal connection

between the defendant's [*9] product and the asserted injury," the "frequency, regularity, and proximity" test was adopted. *Id.* Under this test, trial courts are to evaluate and "distinguish[] cases in which the plaintiff can adduce evidence that there is a sufficiently significant likelihood that the defendant's product caused his harm, from those in which such likelihood is absent on account of only casual or minimal exposure to the defendant's product." *Id.* (citing *Tragarz v. Keene Corp.*, 980 F.2d 411 (7th Cir. 1992)).

Determining the sufficiency of product identification evidence requires a fact sensitive approach. *Gregg*, 943 A.2d at 227. Moreover, "the plaintiff's exposure to each defendant's product should be independently evaluated when determining if such exposure was a substantial factor in causing the plaintiff's injury." *Tragarz*, 980 F.2d at 425 (discussed by the *Gregg* court in setting out the product identification criteria in Pennsylvania).

Here, Plaintiff's evidence includes the fact that while working as an HVAC mechanic at the Philadelphia Airport between 1993 and 2003, Nybeck worked with Allen Bradley electrical motors and starters on a weekly basis. (Def.'s SOF ¶¶ 3, 5-6; Pl.'s Mem. of Law at 3.) Plaintiff also points to evidence that these electrical motors and starters were mounted to phenolic [*10] board. (Def.'s SOF ¶¶ 5-6.)

However, the record is devoid of admissible evidence to establish that Nybeck was exposed to any **asbestos**-ridden Allen Bradley product with the necessary proximity, regularity, and frequency required by Pennsylvania law. In an attempt to establish that **asbestos** was within the Allen Bradley phenolic boards to which Nybeck was exposed and that this exposure was a substantial factor in his development of lung cancer, Plaintiff points to Nybeck's prior deposition testimony, various records, and reports by two expert witnesses. None of this evidence creates a genuine issue of material fact for purposes of a *Rule 56* review.

First, in his deposition, Nybeck testified that he believed that the phenolic boards contained **asbestos** based on a trade magazine. But Nybeck could not remember the title or the date when he read the magazine. Proffering an unidentified, undated trade magazine to prove that the Allen Bradley phenolic boards to which Nybeck was exposed at the Philadelphia Airport between 1993 and 2003 contained **asbestos** is inadmissible hearsay, which does not create a genuine issue of fact to overcome summary judgment. *Fed. R. Evid. 801(c)* and *802*; see also *Lawler v. Richardson*, No 10-196, 2012

[U.S. Dist. LEXIS 86055, 2012 WL 2362383, at *2 \(E.D. Pa. June 20, 2012\)](#) (magazine and "[n]ewspaper articles [*11] are generally considered hearsay, and may only be used during trial in exceptional circumstances."); [Faulman v. Sec. Mut. Fin. Life Ins. Co., 353 F. App'x 699, 704 \(3d Cir. 2009\)](#) (affirming the district court's refusal to admit a magazine article into evidence as such was "hearsay, irrelevant, or unduly prejudicial."³)

"[A] motion for summary judgment cannot be supported or defeated by statements that include inadmissible hearsay evidence." [Samarin v. GAF Corp., 391 Pa. Super. 340, 571 A.2d 398, 403 \(Pa. Super. 1989\); Hampshire v. Philadelphia Hous. Admin., No 17-4423, 2019 U.S. Dist. LEXIS 24608, 2019 WL 652481, at *7 \(E.D. Pa. Feb. 14, 2019\)](#) (noting that inadmissible hearsay "cannot be considered to defeat defendants' motion for summary judgment"); see also *Fed. R. Civ. P. 56(c)(2)* ("A party may object that the material cited to support or dispute a fact cannot be presented in a form that would be admissible in evidence."). As an unidentified, undated magazine article fails to present the necessary trustworthiness to fall into a hearsay exception, it does not present an issue of fact warranting denial of Allen Bradley's Motion for Summary Judgment.

Next, Plaintiff cites to a myriad of other documents in an attempt to create a genuine issue of fact as to Nybeck's exposure to Allen Bradley **asbestos**-ridden products. These documents include excerpts from Allen Bradley's discovery responses in unrelated state court proceedings, Plaintiff's counsel's letters in unrelated state court proceedings, [*12] publications by the National Safety Council, discovery responses by entities other than Allen Bradley in unrelated lawsuits, and internal documentation by entities other than Allen Bradley. Even when viewed together, these documents do not present a genuine issue of fact regarding the particular Allen Bradley products to which Nybeck was in contact between 1993 and 2003 at the Philadelphia Airport, let alone whether **asbestos** was contained within those products.⁴ While I must draw all reasonable

³Hearsay is "a statement that the declarant does not make while testifying at the current trial or hearing; and a party offers in evidence to prove the truth of the matter asserted in the statement." *Fed. R. Evid. 801(c)*. Hearsay is generally not admissible except as provided by certain exceptions. [United States v. Casoni, 950 F.2d 893, 903 \(3d Cir. 1991\)](#).

⁴For instance, Plaintiff's Exhibit D contains a Pennsylvania

inferences in favor of Plaintiff, "an inference based upon a speculation or conjecture does not create a material factual dispute sufficient to defeat summary judgment." [Halsey v. Pfeiffer, 750 F.3d 273, 287 \(3d Cir. 2014\)](#) (citation omitted). This evidence also fails to show that Nybeck was ever exposed to Allen Bradley **asbestos**-ridden products.

Finally, Plaintiff presents the expert opinions of two medical liability and causation experts, Arthur Frank, M.D., and Jonathan Gelfand, M.D., in an attempt to establish that Allen Bradley's products were a substantial factor in causing Nybeck's lung cancer.⁵ Dr. Frank provides a general background of Nybeck's employment history, including Nybeck's work at the Philadelphia Airport. (Frank Rep. at 1-2, [*13] ECF No. 295, Ex. C.)⁶ Dr. Frank then concludes that Nybeck's "cumulative exposures that he had to **asbestos**, from any and all products, containing any and all fiber types, would have contributed to his developing [lung cancer and asbestosis]." (*Id.* at 2.) Dr. Gelfand also lists Nybeck's prior employment history. (Gelfand Report at 1-2, ECF No. 295, Ex. D.) When discussing Nybeck's work as an HVAC instructor at the Philadelphia Technical Institute and subsequent work at the Philadelphia Airport, Dr. Gelfand offers that "[t]here is no certainty about exposure to **asbestos** at those places." (*Id.* at 2.) Dr. Gelfand further states that "Nybeck has a history of exposure to **asbestos** beginning in the 1950s and continuing into the 1970s." (*Id.* at 3.) Dr. Gelfand

state court ruling and Plaintiff's counsel's letter to the court. (ECF No. 314-4.) The lawsuit referenced therein involved a different plaintiff and was filed against Ford Motor Company. (*Id.*) Plaintiff's Exhibit I contains excerpts from General Electric Company's discovery responses in a 2007 Pennsylvania state court action and Westinghouse Electric Corporation's discovery responses in a 1990 Texas state court action. (ECF No. 314-9.) Exhibit J is a two-page document, entitled a "Safe Practice Data Sheet A-20," which generally describes **asbestos** and the risks associated with it. (ECF No. 314-10.)

⁵Both Drs. Frank and Gelfand indicate that Nybeck began working at the Philadelphia Airport in 1989, rather than 1993, as stated by the parties. For purposes of this motion, the discrepancy about when Nybeck began working is immaterial.

⁶Plaintiff also attaches assorted pages from separate affidavit of Dr. Frank, dated December 20, 2016. (ECF No. 314-5). In this report, Dr. Frank provides a background on his credentials and opines on the general history of **asbestos** and the health hazards associated with it. Plaintiff has provided only six of the 216-page affidavit. In any event, this affidavit does not mention Nybeck nor Allen Bradley products. Therefore, this document is not relevant for purposes of the present motion.

opines that "the combination of asbestos exposure . . . has been a substantial contributing factor to Mr. Nybeck's lung cancer." (Id.)

Upon careful review of both expert reports, neither mentions Allen Bradley products nor contends that Nybeck was exposed to any asbestos-ridden products while at the Philadelphia Airport between 1993 (or 1989) and 2003. Because the factual record outside of these expert reports fails to establish Nybeck's [*14] proximity to any asbestos-containing Allen Bradley product while at the Philadelphia Airport, an expert witness could not establish the factual basis to support this claim. Olivar v. Buffalo Pumps, Inc., No. 09-62577, 2011 U.S. Dist. LEXIS 160686, 2011 WL 13254695, at *8 (E.D. Pa. Mar. 29, 2011) (granting summary judgment for defendant product manufacturer, in part, because plaintiff's expert testimony, standing alone, was insufficient to establish factual support for plaintiff's exposure to defendant's products); Conroy v. A.W. Chesterton Co., No. 11-05457, 2012 U.S. Dist. LEXIS 163535, 2012 WL 5458119, at *1 n.1 (E.D. Pa. Oct. 26, 2012) (granting summary judgment because there was no evidence from anyone with personal knowledge about whether plaintiff worked with or around defendant's products and noting that plaintiff's expert's testimony on this topic was "impermissibly speculative"); Cardaro v. Aerojet Gen. Corp., No. 11-00876, 2012 U.S. Dist. LEXIS 113846, 2012 WL 3536243, at *1 n.1 (E.D. Pa. July 27, 2012) (same).

In sum, I conclude that no reasonable jury could determine that Nybeck was exposed to Allen Bradley asbestos-ridden products such that they were a substantial factor in his development of lung cancer. Therefore, summary judgment in favor of Allen Bradley is warranted.

IV. CONCLUSION

For the reasons set forth above, Allen Bradley's motion for summary judgment will be granted. An appropriate Order follows.

ORDER

AND NOW, this 14th day of May, 2021, upon consideration of the "Motion for Summary Judgment" by Rockwell Automation, Inc., as successor [*15] by merger to The Allen-Bradley Co. ("Allen Bradley") (ECF No. 295), Plaintiff's Memorandum of Law in opposition

(ECF No. 314), and Defendant's Reply (ECF No. 320), and for the reasons set forth in the accompanying Memorandum Opinion, it is hereby **ORDERED** that Defendant's Motion is **GRANTED** such that summary judgment is entered in favor of Allen Bradley on all claims.

The Clerk of Court is directed to mark this action as **CLOSED**.

BY THE COURT:

/s/ Mitchell S. Goldberg

Hon. Mitchell S. Goldberg

AMENDED ORDER

AND NOW, this 14th day of May, 2021, it is hereby **ORDERED** that my Order, dated May 14, 2021 (ECF No. 371), is **AMENDED** to reflect the following:

Upon consideration of the "Motion for Summary Judgment" by Rockwell Automation, Inc., as successor by merger to The Allen-Bradley Co. ("Allen Bradley") (ECF No. 295), Plaintiff's Memorandum of Law in opposition (ECF No. 314), and Defendant's Reply (ECF No. 320), and for the reasons set forth in the accompanying Memorandum Opinion, it is hereby **ORDERED** that Defendant's Motion is **GRANTED** such that summary judgment is entered in favor of Allen Bradley on all claims.

The Clerk of Court is directed to mark this action as **CLOSED as to Defendant The Allen-Bradley [*16] Co. only. This case shall remain ACTIVE in all other respects.**

BY THE COURT:

/s/ Mitchell S. Goldberg

Hon. Mitchell S. Goldberg

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